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# Spectral fine structure and electron paramagnetic resonance parameters of LiNbO<sub>3</sub>:Ni<sup>2+</sup>

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Abstract. Using a phenomenological parametrized crystal-field model, the spectral structure and EPR parameters of the ground state  ${}^{3}A_{2}(F)$  of LiNbO<sub>3</sub>:Ni<sup>2+</sup> are calculated by means of diagonalization of the complete energy matrix. Two parameters  $A_{1}$  and  $A_{2}$  are fitted to the experimental spectra. The results agree well with the experimental findings. The theoretical method is suitable for any  $C_{3v}(d^{3})$  ion.

#### 1. Introduction

Since Macfarlane (1967) suggested the importance of the high-order effect on the properties of the ground state of transition-metal ions, a number of investigations (Fairbank and Klauminzer 1973, Du and Zhao 1985, Yu et al 1985) have been carried out to calculate the high-order contribution to the spin-Hamiltonian parameters. Impurity ions of 3d<sup>8</sup> configuration have been intensively investigated by EPR and optical spectroscopy (Ballhausen 1962, Abragam and Bleaney 1970). In a crystal field of octahedral symmetry the ground state of these ions is the  ${}^{3}A_{2}(F)$  orbital singlet which is triple spin degenerate. If the crystal field has an axial component (in particular, a trigonal distortion), the ground state is split into the singlet  $|0\rangle$  and the doublet  $|\pm\rangle$ . In the absence of an external magnetic field the zero-field splitting (ZFS) between these levels is equal to the axial parameter D of the spin Hamiltonian. As a rule, a trigonal field also leads to anisotropy of the g-values. However, the attempts to explain the magnitudes of ZFS and g-value anisotropy for  $Ni^{2+}$  in some crystals by taking into account the interaction with only the nearest excited  ${}^{3}T_{2}$  level were unsuccessful, since a wrong sign was obtained for both D and  $\Delta g = g_{\parallel} - g_{\perp}$  (Ballhausen 1962, Blumberg et al 1963, Geschwind and Remeika 1963, Kamimura 1962). Petrosyan and Mirzakhanyan (1986) calculated the ZFS and g-values for d<sup>8</sup> ions in trigonally distorted octahedral complexes within the framework of the crystal-field theory. On the basis of the complete strong-field scheme, Zhou et al (1990) reported the spectral fine structure, the EPR parameters of which are incorrect.

This work presents the results using a phenomenological parametrized crystal-field model. The calculation is based on the complete strong-field coupling scheme by diagonalization of the complete energy matrices including the electron-electron interaction, the spin-orbit coupling and the low-symmetry  $C_{3v}$  crystal field (Rahman and Runciman 1971). In calculating the energy levels, two adjustable parameters  $A_1$  and  $A_2$  are employed which are fitted to experimental spectra (Arizmendi *et al* 1980). The structure parameters  $R_1$ ,  $R_2$ ,  $\theta_1$  and  $\theta_2$  are determined by x-ray diffraction (Abrahams 1966). The results are in good agreement with experimental findings.

#### 2. Theoretical method

The LiNbO<sub>3</sub>:Ni<sup>2+</sup> ion has a  $3d^8$  electron configuration. The ground state  ${}^{3}A_2(F)$  will be split under the action of the low-symmetry crystal field and spin-orbit coupling. These interactions also lead to anisotropy of the g-values.

Using the irreducible representation basis functions of the  $C_{3v}$  group, the Hamiltonian matrix is obtained for the d<sup>8</sup> configuration. The complete energy matrix elements are expressed in terms of the Racah parameters *B* and *C*, the cubic crystal-field parameter Dq, the spin-orbit coupling coefficient  $\zeta$  and the crystal-field parameters *V* and *V'* (Rahman and Runciman 1971).

In the strong-field scheme, the crystal parameters Dq, V and V' of  $C_{3v}(d^8)$  are defined as follows:

$$\langle X_{\pm} | H | X_{\pm} \rangle = -\frac{1}{3}V - 4Dq$$

$$\langle X_0 | H | X_0 \rangle = \frac{2}{3}V - 4Dq$$

$$\langle U_{\pm} | H | U_{\pm} \rangle = 6Dq$$

$$\langle U_{\pm} | H | X_{\pm} \rangle = V'.$$

$$(1)$$

Thus we have

$$V = 3D_2 + \frac{20}{3}D_1$$

$$V' = \frac{5}{3}\sqrt{2}[D_1 - \frac{3}{5}D_2]$$

$$Dq = -\frac{3}{2}D_3 - \frac{1}{30}V - \frac{1}{20}\sqrt{2}V'$$
(2)

where

$$D_{1} = A_{1} \{ \frac{1}{56} [(35 \cos^{4} \theta_{1} - 30 \cos^{2} \theta_{1} + 3) + \frac{1}{8} \sqrt{2} \sin^{3} \theta_{1} \cos \theta_{1}] / R_{1}^{n} + \frac{1}{56} [(35 \cos^{4} \theta_{2} - 30 \cos^{2} \theta_{2} + 3) + \frac{1}{8} \sqrt{2} \sin^{3} \theta_{2} \cos \theta_{2}] / R_{2}^{n} \} D_{2} = \frac{3}{14} A_{2} [(3 \cos^{2} \theta_{1} - 1) / R_{1}^{m} + (3 \cos^{2} \theta_{2} - 1) / R_{2}^{m} ] D_{3} = -\frac{1}{8} \sqrt{2} A_{1} [\sin^{3} \theta_{1} \cos \theta_{1} / R_{1}^{n} + \sin^{3} \theta_{2} \cos \theta_{2} / R_{2}^{n} ].$$

In the phenomenological parametrized crystal-field model, experimental values for Ni<sup>2+</sup>, Mn<sup>3+</sup> and Cr<sup>3+</sup> in oxides and fluorides lead to an exponent *n* which is close to five (Drickamer 1967, Rodriguez *et al* 1986, Duclos *et al* 1990). A realistic calculation performed within a molecular orbital description gives a similar conclusion (Moreno *et al* 1992). We take n = 5 and m = 3 to obtain values of  $A_1$  and  $A_2$  from experimental optical spectra for LiNbO<sub>3</sub>:Ni<sup>2+</sup>.

The diagonalization of the complete energy matrix leads to complete mixing of all terms of ligand-field configurations. From the eigenvalues the spectral fine structures are obtained. The difference between the lowest two eigenvalues  $E(|{}^{3}A_{2}(F), \pm 1\rangle)$  and  $E(|{}^{3}A_{2}(F), 0\rangle)$  is the ground-state ZFS. The three lowest-lying eigenvectors, namely one belonging to  $E(|{}^{3}A_{2}(F), 1\rangle)$ , denoted by  $|\varphi_{+}\rangle$ , another belonging to  $E(|{}^{3}A_{2}(F), -1\rangle)$ , denoted by  $|\varphi_{-}\rangle$ , and the third belonging to  $E(|{}^{3}A_{2}(F), 0\rangle)$ , denoted by  $|\varphi_{0}\rangle$ , will be produced by diagonalization of the complete energy matrix. Thus we may write

$$|\varphi_{+}\rangle = |{}^{3}A_{2}(F), 1\rangle$$
  
 $|\varphi_{-}\rangle = |{}^{3}A_{2}(F), -1\rangle$  (3)  
 $|\varphi_{0}\rangle = |{}^{3}A_{2}(F), 0\rangle$ 

and

$$|\varphi_i\rangle = \sum_k C_i(K)\chi_i(K)$$
  $i = \pm 1, 0$ 

where  $\chi_i(K)$  is the Kth basis function of the complete energy matrix.  $C_i(K)$  is the Kth component of  $|\varphi_i\rangle$ , which will be obtained by diagonalizing the energy matrix.

#### 2.1. EPR parameters

The spin Hamiltonian of  ${}^{3}A_{2}(F)$  is

$$H_{s} = g_{\parallel} \mu_{\rm B} H_{z} S_{z} + g_{\perp} \mu_{\rm B} (H_{x} S_{x} + H_{y} S_{y}) + D S_{z}^{2}$$
<sup>(4)</sup>

where D and g are the spin-Hamiltonian parameters.

Following the spin-Hamiltonian theory,

$$D = E(|{}^{3}A_{2}(F), \pm 1\rangle) - E(|{}^{3}A_{2}(F), 0\rangle).$$
(5)

Thus, D, including its sign, is the result of the interaction of the low-symmetry crystal field and spin-orbit coupling.

When a magnetic field is applied, the perturbation Hamiltonian has to be extended by the Zeeman term:

$$H = H_{e}(B, C) + H_{cub}(Dq) + H_{trig}(V, V') + H_{so}(\zeta) + H_{m}.$$
 (6)

Following the perturbation theory, we have

$$g_{\parallel} = (1/\mu_{\rm B}H_z)\langle \varphi_+ | H_{\rm mz} | \varphi_+ \rangle \qquad H \parallel Z \text{ axis}$$
(7)

$$g_{\perp} = (\sqrt{2}/\mu_{\rm B}H_x)\langle \varphi_+ | H_{\rm mx} | \varphi_0 \rangle \qquad H \parallel X \text{ axis}$$
(8)

with

$$H_{mz} = \mu_B H_z (kL_z + g_e S_z)$$
$$H_{mx} = \mu_B H_x (kL_x + g_e S_x)$$

where  $g_e = 2.0023$  is the free-electron g-values and k = 0.85 is the orbit reduction factor.

#### 3. Numerical results

In the calculation,  $A_1$  and  $A_2$  are fitted to experimental spectra, taking  $A_1 = -3750927.29 \text{ cm}^{-1} \text{ au}^5$  and  $A_2 = -528990.92 \text{ cm}^{-1} \text{ au}^3$ . The crystal-field parameters can be obtained as long as the structure parameters  $R_1$ ,  $R_2$ ,  $\theta_1$  and  $\theta_2$  are known. By the diagonalization of the complete energy matrix the spectral fine structure (see table 1), *D*-values and *g*-values (see table 3) are obtained.

It can be seen from tables 1-3 that the high-order perturbation formula is suitable for calculating the ZFS parameters. The strong-field scheme employed by Zhou *et al* (1990) gives incorrect results.

(1) The D obtained by Zhou et al (1990) is 5.07319, but the sign of D determined by the EPR experiment is negative (Petrosyan and Mirzakhanyan 1986).

(2)  $\Delta g$  in the work of Zhou *et al* (1990) is -0.0057; it contradicts the  $\Delta g$  value of 0.04 obtained by Petrosyan and Mirzakhanyan (1986).

(3) If the parameters obtained by Zhou *et al* (1990) (B = 790, C = 3270, Dq = 830, V = -2400, V' = 565.69 and  $\zeta = 530.5$ ) are substituted into the complete Hamiltonian matrices used in this paper, the calculated values do not agree with their results (shown in table 2).

Table 1. The spectral fine structure of LiNbO3:Ni2+. The parameters are

c	d	a	b
$A_1 = -3750927.29$	$A_1 = -3.750927.29$	$\zeta/\zeta_0=0.83$	<i>B</i> = 790
$A_2 = -528990.92$	$A_2 = -528990.92$	B = 816	C = 3270

The structure data are (Abrahams 1966)

c	d	a	b
$\theta_1 = 62.9^\circ$	$\theta_1 = 61.65^\circ$	C = 3224	Dq = -830
$\theta_2 = 49.2^\circ$	$\theta_2 = 47.99^\circ$	Dq = -792	V = 2400
$R_1 = 1.889 \text{ Å}$	$R_1 = 1.889 \text{ \AA}$	V = 950	$V' \approx 565.69$
$R_2 = 2.112$ Å	$R_2 = 2.112$ Å	V' = -600	$\zeta = -530.5.$

			Ob	served by			
Calculate	d in this pap	per ( $C_{3v}$ )	Arizmendi	et al (198	14) (O <sub>h</sub> )	Calculated <sup>a</sup> (Ca.)	Calculated <sup>b</sup> (Ca.)
	Band <sup>e</sup>	Band <sup>d</sup>		σ	π	Band	Band
Assignment	(cm <sup>-1</sup> )	(cm <sup>-1</sup> )	Assignment	(em <sup>-1</sup> )	(cm <sup>-1</sup> )	(cm <sup>-1</sup> )	(cm <sup>-1</sup> )
A'	0	0	<sup>3</sup> A <sub>2</sub>		0	0	0
E'	-4.33	-2.00				-4.14	-6.07
Α'	7712	7821				7636	7549
Α'	7731	7829	${}^{3}T_{2g}$		7810	7648	7577
E'	7865	7865	-			8036	8500
E'	7958	7901	<sup>3</sup> T <sub>2g</sub>	7970		8073	8516
E'	8065	8066	-			8228	8757
Α'	8070	8122				8240	8768
E'	12 338	12482	<sup>1</sup> Eg	12 120		12 345	12438
Α′	12818	12978	$^{3}T_{1g}$		12990	13 036	13 397
Α'	13 027	13249	-		13773	13 269	13 784
E'	13 291	13 384	$^{3}T_{1g}$			13273	13 792
E'	13 300	13 391	0	13 330		13 393	14 137
E'	14 459	14171	<sup>3</sup> T <sub>lg</sub>			13822	14 143
Α'	14 465	14 181	-			13 835	14354
E'	19454	19972	$^{1}T_{2g}$	20 450		19350	19 326
Α'	20 510	20403	$^{1}T_{2g}$		19 420	20 237	20 52 1
Α'	21 607	21 496	<sup>1</sup> A <sub>12</sub>		20620	20782	20777
E'	22 22 1	23 026	•			21776	21 394
Ε'	22 286	23 050				21 832	21437
Α'	24 227	23 848	<sup>3</sup> T <sub>lz</sub>		22 220	23 495	23 625
A'	24 466	24 139	-0			23746	24 255
E'	24 466	24 139	<sup>3</sup> T <sub>18</sub>	23 260		23746	24 300
Α'	24 814	24 423	${}^{3}T_{1g}$			24 0 56	24612
E'	24913	24932	- 4			24 448	25 079
A'	30 384	30739	<sup>1</sup> Eg			29712	29431

a,b Calculated by substituting the two sets of the spectral parameters used by Zhou *et al* (1990) into the complete energy matrix used in this paper.

<sup>c</sup> The structure parameters deviate from those of Abrahams (1966) by  $\Delta \theta_1 = 1.25^\circ$  and  $\Delta \theta_2 = 1.21^\circ$ .

		Band (cm <sup>-1</sup> )
	Present work	From table 1 in Zhou et al (1990)
E'	-6.07	5.0734
A'	7549	7560
Α′	7577	7611
E'	8500	7704
E′	8516	7823
E'	8757	9173
Α'	8768	9216
E'	12 438	12.521
A'	13 397	12754
A'	13784	12 841
E′	13 792	13 050
E'	14 137	13317
E'	14 143	15 627
Α′	14 354	15 629
E'	19 326	19875
A'	20 521	20 303
Α′	20 574	20907
E'	21 394	22 680
E′	21 437	22 881
A'	23 625	23 082
A'	24 255	23 329
E'	24 330	23 742
E′	25 079	24 707
A'	29 431	225 337

**Table 2.** A comparison between the work of Zhou *et al* (1990) and the work in this paper (transition,  ${}^{3}A_{2}(F) \rightarrow$ ).

Table 3. The values of EPR parameters of  $LiNbO_3:Ni^{2+}$ . The parameters are

c	a	b
$A_1 = -3750927.29$	$\xi/\zeta_0 = 0.83$	<i>B</i> = 790
$A_2 = -528990.92$	<i>B</i> = 816	C = -3270
$\Delta \theta_1 = 1.25^{\circ}$	<i>C</i> = 3224	Dq = -830
$\Delta \theta_2 = 1.21^{\circ}$	Dq = -792	V = 2400
	V = 950	V' = -565.69
	$V' \approx -600$	$\zeta = -530.5.$

	$D  ({\rm cm}^{-1})$	8	gt
Calculated in this paper <sup>c</sup>	-4.33	2.25	2.21
Calculated <sup>a</sup>	-4.14	2.239	2.199
Calculated <sup>b</sup>	-6.07	2.29	2.22
From table 2 of Zhou et al (1990)	5.073 19	2.246 81	2.252.51
Experimental data from Mirsahanin (1981)	-5.06	$2.24\pm0.02$	$2.20\pm0.02$

a,b Calculated by substituting the two sets of the spectral parameters used by Zhou *et al* (1990) into the complete energy matrix used in this paper.

## 4. Conclusion

The calculated results agree well with the experimental findings obtained by diagonalization of the complete energy matrix of symmetry  $C_{3v}$  of Ni<sup>2+</sup> which is at the Li<sup>+</sup> site. There is no charge compensation in the calculation; it is found that, when  $\theta_1 = \theta_2 = \sin^{-1}(\frac{1}{3}\sqrt{2})$ , the formula is degenerate for O<sub>h</sub> symmetry; when  $\theta_1 = \theta_2 < \sin^{-1}(\frac{1}{3}\sqrt{2})$ , D > 0 and  $g_{\perp} > g_{\parallel}$ ; when  $\theta_1 = \theta_2 > \sin^{-1}(\frac{1}{3}\sqrt{2})$ , D > 0 and  $g_{\perp} > g_{\parallel}$ ;

For impurities in the cubic lattices, the impurity-ligand distance is different from that corresponding to the host lattice (Drickamer 1967, Rodriguez *et al* 1986, Duclos *et al* 1990, Moreno 1990, Barkyoumd *et al* 1992, Moreno *et al* 1992). However, if  $R_1$  varies directly as  $R_2$ , they will have little influence on the optical and EPR parameters. In the calculation, it is found that it is the variation in angles in the vicinity of the host lattice geometry that gives rise to the changes in optical and EPR parameters, as shown in tables 1 and 3.

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